# **CAU Video Hearings**

**User Guide** 

October 2025

#### **CAU Hearing-by-Video Process Overview**

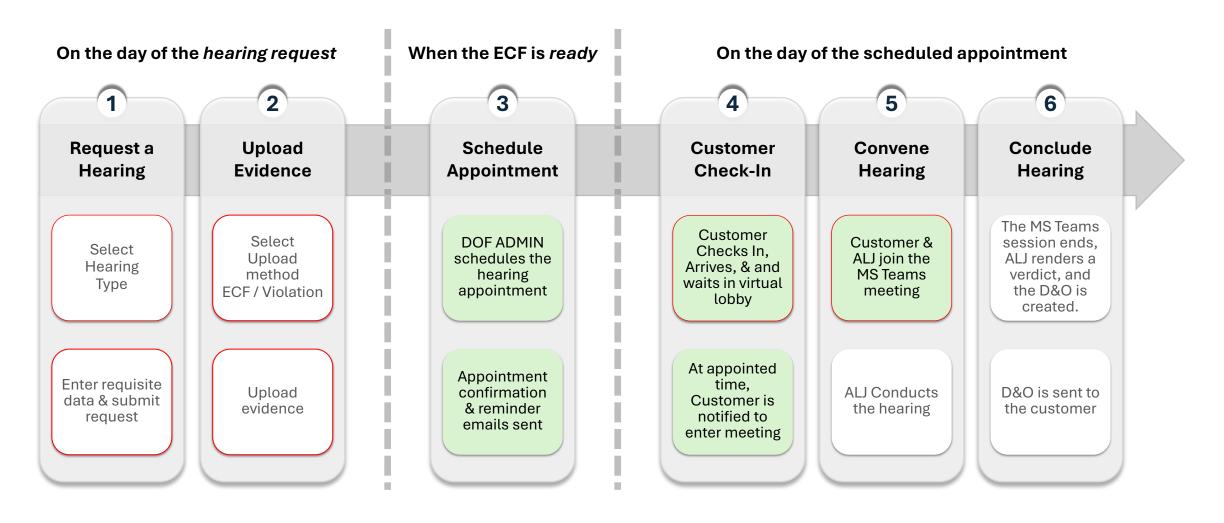
When the ECF is ready On the day of the *hearing request* On the day of the scheduled appointment 1 2 3 4 5 6 Request a **Upload** Schedule Customer Convene Conclude Hearing **Evidence Appointment** Check-In Hearing Hearing

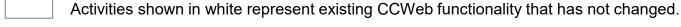
### **CAU Hearing-by-Video**

Customers may now request and attend a CAU video hearing via CCWeb

The following diagram shows the video hearing integration points within the CCWeb process.

#### **HBV Process**





Activities shown in green represent new HBV related functionality.

Activities bordered in red represent functionality where broker/customer input is required.

#### Hearing-by-Video Process Step by Step: Requesting a hearing:

The 5 steps listed below are all existing activities that must take place prior to submitting the request. There are no changes from the current process.

From the following CC Web Screens:	Broker / Customer Activity:
1. Logon Fleet / Rental	Enter credentials and security code
2. Search	Enter the search argument (agency, plate, or violation number)
3. Search Results	Select the violation/violations and add to the Cart
4. Cart	Select the violation/violations and request a hearing
5. Violation Selection Preview	❖ Select hearing type & D&O delivery options

### **Step-1: Select hearing type**

#### **Violation Selection Preview Screen**

**Hearing by Video** option has been added to the violation selection preview screen.

- 1. Select Hearing-by-Video
- 2. Select D&O delivery option as needed

To proceed, select a hearing type below. If you choose a **hearing-by-web or hearing-by-video**, you must also choose whether you would like to receive your decision-and-order by email or postal mail.

Please note: If you select Yes, you must enter the broker's name and company, or you will not be able to proceed.

Do you have a Parking ticket broker? O Yes No						
Broker Name:						
Company Name:						
Select Hearing Type						
	ring-by-Web here for details)		son He 1	O Hearing-by-Vio		
If you chose hearing-by-web or hearing-by-video, how would you like to receive your decision-and-order?						
	2)0	Email	O Postal M	lail		
Note: Your decision	n-and-order v		t to the emai ofile.	il address saved ii	n your CC Web	

No change from current process

#### **Completing the hearing request:**

The 3-steps listed below are existing hearing request activities that control evidence upload options. There are no changes from the current process.

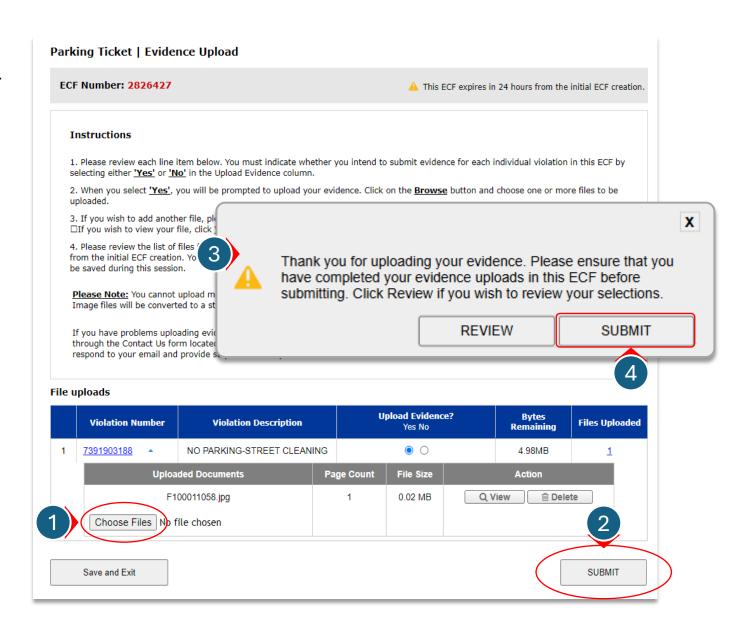
From the following CC Web Screens:	Broker / Customer Activity:			
1. Electronic Case Folder Preview	Select upload evidence option (yes / no)			
2. Electronic Case Folder Details and Upload	Select UPLOAD evidence option (click upload button)			
3. Parking Ticket Evidence Upload	Complete summons number challenge			
	Select evidence upload level - ECF or Violation			
	Upload evidence file			
	Review and Submit			

### Step-2: Upload evidence

#### **Upload Evidence Screen**

- 1. Select the corresponding evidence file for each violation and upload it.
- 2. Once all files are uploaded, click the submit button
- 3. The application displays an evidence review/submit message.
- 4. If everything looks correct, select the SUBMIT button. Select REVIEW to return control to the upload screen

No change from current process



### Step-3: DOF ADMIN books the video hearing appointment

#### When the ECF is ready to be scheduled...

- 1. A DOF CAU Administrator will:
  - Select the broker / customer.
  - Set the duration of appointment based on the number of ECFs to be adjudicated.
  - Book the appointment.
- 2. Once the appointment is booked you will receive:
  - A video hearing confirmation email.
  - ❖ A follow-up video hearing *reminder* email (approximately 15 minutes before the hearing appointment).

#### **Step-3: Appointment Confirmation Email**

From: DOFAppointments@finance.nyc.gov < DOFAppointments@finance.nyc.gov >

**Sent:** Thursday, July 3, 2025 7:45 AM

To: CAU Customer < CAU Customer@gmail.com >

Subject: CAU Video hearing appointment confirmation

Dear CAU Customer,

This is a confirmation of your video hearing appointment with the New York City Department of Finance. Please make note of the video hearing appointment information shown below.

 Confirmation #:
 17890830

 Date:
 07/03/2025

 Time:
 8:30 a.m.

 ECF:
 01234567

 Meeting link:
 Check in here

All evidence must be submitted electronically prior to the hearing. The administrative law judge cannot accept any evidence during or after the hearing.

Please check your equipment and internet connection prior to your hearing. Your desktop, laptop, or mobile device must have a working camera and microphone, as well as a reliable internet connection.

You will receive a reminder email 15 minutes prior to your appointment with a check-in link. Click that link and use the "Arrive" button on the screen to check in. After checking in, you will be placed in a queue where you can follow the progress of your appointment. When it is your turn, you will see the "Enter Meeting" button. Click on the "Enter Meeting" button to start your video hearing.

If you need assistance, please contact <u>cauinquiries@finance.nyc.gov</u>.

Thank you for scheduling a video hearing appointment.

Sincerely,

**NYC Department of Finance** 

#### **Step-3: Appointment Reminder Email**

From: <a href="mailto:DOFAppointments@finance.nyc.gov">DOFAppointments@finance.nyc.gov</a> <a href="mailto:DOFAppointments@finance.nyc.gov">DOFAppointments@finance.nyc.gov</a>>

**Sent:** Thursday, July 3, 2025 7:45 AM **To:** CAU Customer < <u>CAU Customer</u>>

Subject: CAU Video Hearing Appointment – Reminder and Check-in Link

Dear CAU Customer,

This is a reminder that your video hearing appointment with the New York City Department of Finance will begin in 15 minutes.

At the time of your appointment, please use this check-in link.

Click the "Arrive" button on the screen to check in. After checking in, you will be placed in a queue where you can follow the progress of your appointment. When it is your turn, you will see the "Enter Meeting" button. Click on the "Enter Meeting" button to start your video hearing.

Your appointment information:

Confirmation #: 17890830
Date: 07/03/2025
Time: 8:30 a.m.
ECF: 01234567
Meeting link: Check in here

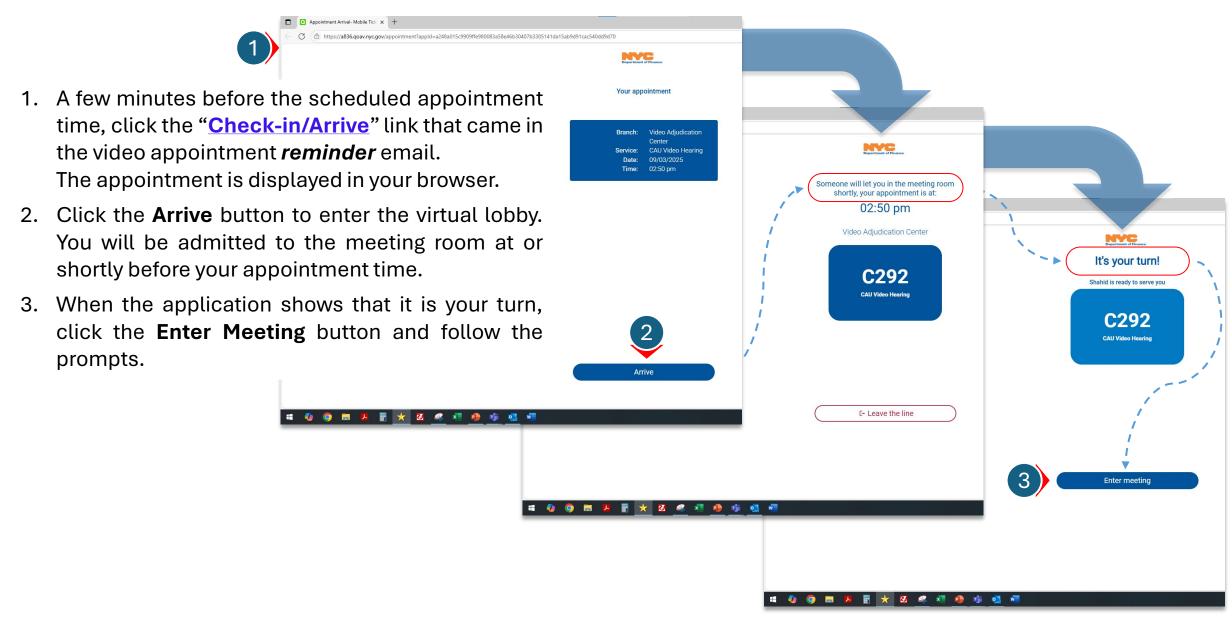
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Sincerely,

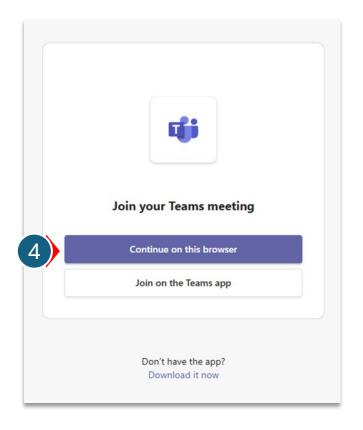
**NYC Department of Finance** 

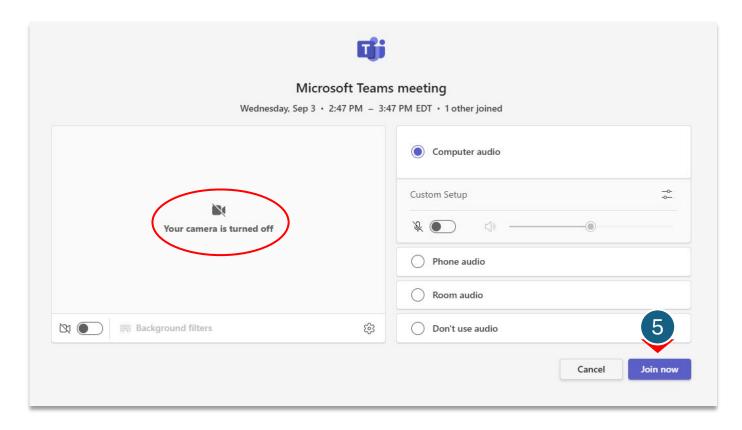
### Step-4: Broker / Customer Check-in



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- 4. Once the ALJ starts the video session, the broker / customer receives a MS Teams invitation on their browser. Click the "Continue on this browser" button to continue.
- 5. The Teams meeting launches. Click the "Join Now" button to enter the meeting. Don't forget to turn your camera on.

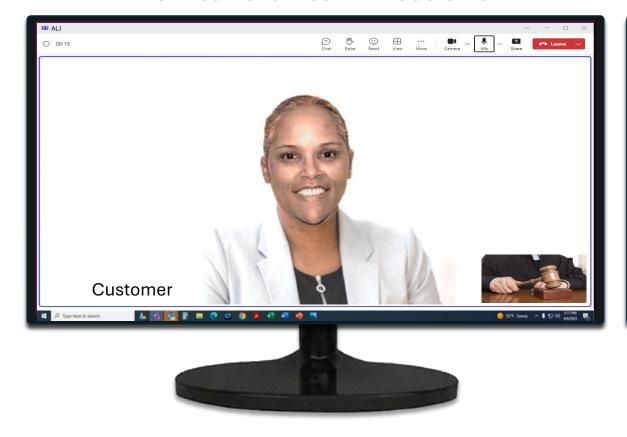




### Step-4: The MS Teams video session is established

6) The broker/customer and ALJ join the MS Teams video meeting using their desktop/smart device, camera and microphone

ALJ - Teams to meet with Customer



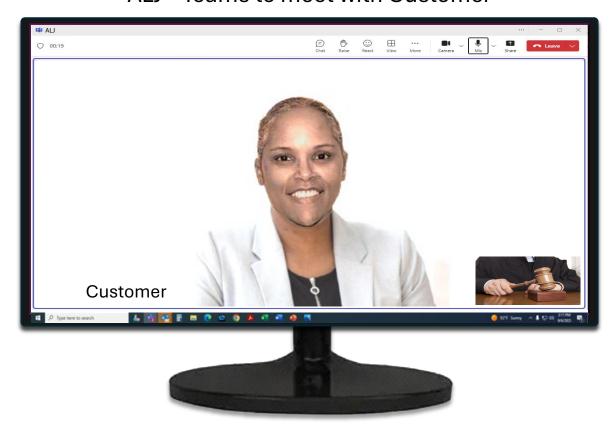
**Broker / Customer Experience** 



### Step-4: The MS Teams video session is established

7) ALJ conducts the hearing with the broker / customer displayed via Teams on one monitor and the NYCServ Adjudications application on the other

ALJ - Teams to meet with Customer



ALJ - NYCServ to conduct hearing



### Step-6: The ALJ concludes the hearing

#### At the conclusion of the hearing:

- 1. A verdict is rendered
- 2. The Decision and Order is created
- 3. The D & O is sent to the broker / customer via the delivery method selected at the time of the hearing request.

No change from current process



#### FINANCE NEW•YORK

THE CITY OF NEW YORK DEPARTMENT OF FINANCE



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#### **DECISION AND ORDER**

PARKING VIOLATIONS OPERATIONS COMMERCIAL ADJUDICATIONS UNIT

learing Date: August 27, 2025

Broker

Address: Broker 375 Pearl Street NYC, NY 10038 Respondent CCWeb Customer
ALJ Name: Bob Jones

Date: August 27,2025

VIOLATION DECISION:

Violation Plate Charge Disposition Reason Decision Payment Due 7391903188 EZY2433 PA PAS 21 GUILTY-HEARING BMIE \$55.00 \$0.00 \$55.00

I hereby certify that the matter recorded above reflects a hearing conducted by the undersigned Administrative Law Judge (ALJ) on proof submitted by the Respondent and the City of New York, and that said determination was made by me based on that hearing.

ALJ Name: Bob lones

ALJ Signature: Robert Jones

Wed Aug 27,2025 13:00:00

Control 113

Total Amount Due: \$55.00\*

#### Payment Instructions and Additional Information

Payment of non-judgment summonses must be made within thirty (30) days. Summonses in judgment must be paid immediately. You have thirty (30) days to appeal a guilty determination. The amounts reflected on this document may not include recent transactions, which have not yet been applied to the system.

\*Total amount due applies to the current date. Interest will continue to accrue on judgment summonses from the date of this decision. A negative amount due means you have a credit.

Retain this record of your hearing for 8 years and 3 months. This is not a receipt for payment of fine.

THE CITY OF NEW YORK • DEPARTMENT OF FINANCE
COMMERCIAL ADJUDICATIONS UNIT • 66 JOHN STREET • THIRD FLOOR • NEW YORK • NY 10038
WORLD WIDE WEB PAGE: http://nyc.gov/finance

## **End Presentation**